



DRS REQUEST FORM

(Direct Registration System Request Form)

*****Please note that the following will be required to initiate a DRS request*****

1. All fields below must be completed.
2. An updated statement showing the shares the client is requesting dated **within 3 months**.

Please note there is a \$25 Penson DRS Fee plus a Transfer Agent fee ranging from \$0-150 per request.

** Please fax completed form and statement to 310-388-3115 or email to cashiering@mbtrading.com**

DATE: _____ PENSON ACCOUNT NUMBER: _____

CUSIP/SYMBOL: _____ NAME OF ISSUER: _____

SHARE AMOUNT (WHOLE SHARES ONLY): _____

ACCOUNT NUMBER AT AGENT: _____

SOCIAL SEC/TAX ID AT AGENT: _____

OFFICE USE ONLY

Submitted By:

Broker Name: _____

Broker Office: _____

Email: _____

Phone Number: _____

NOTES:

**If any of the above does not match agent information, please be aware that agent may charge a \$75 reject fee or more which is passed to the client.
Please allow 48-72 hours after initial request is entered before sending a status request.**

If any questions please contact 866-628-3001 or email cashiering@mbtrading.com

Thank you.