



PENSON FINANCIAL SERVICES, INC. WORTHLESS SECURITIES LETTER

Dear Valued Client:

Account Number:

Penson Financial Services, Inc. herein referred to as "PFSI" has conducted a search to determine if there is a market for your positions in:

Security Name:

Symbol:

Quantity:

PFSI was unable to locate a ready market or an independent transfer agent employed by the company to conduct a stock transfer. As there is no market or transfer agent for these securities, you may assign them to PFSI "worthless securities account" for tax purposes. PFSI will sell the security from your account for a \$0.01 for the lot. The security will then be moved to the PFSI "worthless securities account". You will have no further claim to the securities and PFSI makes no claims or predictions concerning the future value of the security.

Please check with your tax advisor to confirm that this course of action will produce no unintended results on your tax return.

To implement this assignment, please sign both copies of this letter where indicated below. Retain one copy for your records and return the other.

I/We hereby assign the above referenced securities to Penson Financial Services, Inc. for its worthless securities account.

Sincerely yours,

Client Name/Authorized Signor (printed):

Client Signature/Authorized Signor:

Joint Name/Authorized Signor (printed):

Joint Name/Authorized Signor Signature:

Dated:



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