



MANAGED ACCOUNT AUTHORIZATION

The undersigned hereby authorizes _____ as the undersigned's account manager and attorney-in-fact (the "Manager"), with the authority to enter into contracts for the purchase, receipt, sale (including short sales) and delivery of, whether directly or indirectly through investments in managed investment products or otherwise, foreign currency contracts, options on foreign currency contracts, and other such forward contracts and options thereon, securities, equity, debt and related investments (collectively "Contracts") on margin or otherwise, in one or more of my accounts ("Account") with MB Trading Futures, Inc ("MBTF").

In all such transactions, as well as management decisions relating to the Account, MBTF is hereby authorized to follow the instructions of the Manager; the Manager is authorized to act on behalf of the undersigned in the same manner and with the same force and effect as the undersigned might or could with respect to such transactions, the making and taking of deliveries and with respect to all other things necessary or incidental to the furtherance and/or conduct of the Account. MBTF shall have no liability for following the instructions of the Manager, and the undersigned shall never attempt to hold MBTF liable for the Manager 's actions or inactions. The undersigned understands that MBTF does not, by implication or otherwise, endorse the operating methods of such Manager. The undersigned hereby releases MBTF from any and all liability to the undersigned or to anyone claiming through the undersigned with respect to damage, losses or lost profits sustained or alleged to have been sustained as a result of MBTF following the Manager 's instructions or for any matter arising out of the relationship between the Manager and the undersigned and shall indemnify MBTF from any and all losses, damages, liabilities and expenses, of any kind or nature whatsoever, arising therefrom. The undersigned agrees to hold MBTF harmless and to indemnify it as to any expense, damage or liability sustained by it with respect to any and all acts and practices of the Manager and attorney-in-fact regarding this account, including all losses arising therefrom and debit balance(s) due thereof.

This authorization is a continuing one and shall remain in full force and effect until revoked by the undersigned, or an authorized person on their behalf, by written notice given to MBTF, Attention: New Account Department. Such revocation shall become effective only upon the actual receipt thereof by MBTF, but shall not affect any liability in any way resulting from transactions initiated prior to their receipt. This authorization shall inure to the benefit of MBTF, its successors and assigns. The provisions hereof shall be in addition to and in no way shall it limit or restrict any right that MBTF may have under any agreement with the undersigned. In addition, MBTF is further authorized and directed to deduct from the undersigned's account and pay the Manager the amount of all management fees, incentive fees, advisory fees and/or brokerage commissions to be paid to the Manager upon MBTF'S receipt of invoices from the Manager. The undersigned understands that the Manager is solely responsible for the calculation of such fees and commissions and that MBTF has no responsibility or obligation to determine or verify the amount or accuracy of such fees and commissions. The undersigned hereby agrees to indemnify and hold harmless MBTF and its affiliates and employees from any loss, damage or dispute arising out of or relating to the calculation and payment of such fees and commissions.

All statements, notices, correspondence and the like generated in this account shall be sent or given to the Manager at the address shown for this account and to the undersigned at the address indicated in the Customer's Account documents, or to such other person or address as the undersigned may hereafter designate in writing.

In addition, the undersigned has provided MBTF with a copy of any disclosure document, managed account agreement, or other such materials executed by and between Manager and the undersigned that concern the Manager's advice in regards to the Account.

Section I: Information to complete by account holder

1. What is your relationship to the Account Manager? _____
2. How do you hear about your account Manager? _____

3. What is your compensation to your account manager? (**Please select ONE of the following**)

- Commissions: \$ _____ (USD) per Standard 100k USD currency traded (Mark-up is **in addition** to the base commission of \$2.95 per 100K USD currency Traded), **OR**
- Incentive Fee's: _____% of net profits (Paid **Monthly** based on net profits per month, the difference between new net equity highs and previous highs excluding deposits / withdrawals), **OR**
- Management Fee's: _____ % **per month** of ending balance (Paid Monthly based on the ending account equity at the close of trading day of each calendar month)

4. MB Trading Futures, Inc ("MBTF") cannot require a customer to do any "due diligence" on an account manager ("manager") prior to entering into an agreement with such manager. However, MBTF encourages Customers to take such measures, and asks that Customers acknowledge that they have read and, at the minimum, considered taking the following Account Manager Checklist steps before entering into any agreement with a manager. **Please acknowledge if you have conducted any of the following.**

_____ (Yes/No) Asked the manager to provide you with a disclosure document that, at the minimum, the manager's biography, outlines the investment methodology, the fees charged by the Manager, and the risks associated with the investment methodology.

_____ (Yes/No) Checked with the NFA BASIC Information <http://www.nfa.futures.org/basicnet> , CFTC, FINRA Brokercheck, SEC or other investment-related regulatory bodies to ensure the Manager has never been denied registration, nor does he/she has any disciplinary history. (Please contact MBTF if you need assistance with such a background check);

_____ (Yes/No) Asked the Manager to provide you with references from existing clients.

_____ (Yes/No) Asked your attorney or accountant to verify the accuracy of any performance record provided to you by the Manager, and review any contractual agreement to be executed by and between you and the Manager

The undersigned customer has the right to request from the undersigned account manager statements on account status, and the undersigned account manager is obligated to provide, upon the undersigned customer's request, such statements on account status using report features as provided by MB Trading Futures.

I, the undersigned, represent and covenant that I have read and understand this Managed Account Authorization form and Account manager Checklist.

Customer signature:

Primary Account holder Name

Signature

Date

Joint Account holder Name

Signature

Date

Section II: Information complete by Account Manager

Account manager Registration Status

Please select one of the following which best describes your registration status.

- I (or my entity) currently register with CFTC and member of NFA. My NFA ID is _____
- I (or my entity) currently exempt from registration with NFA. My NFA ID is _____
- None of the above.** Any Account Manager who selects this option must also complete Account Manager and Limited Trade Authorization Self Attestation.

Account Manager Information

1. Account Manager Name or Entity Name _____
2. Current Account Manager Employer _____ Occupation _____
3. Address _____
4. Email Address _____
5. Phone Number _____
6. Tax Payer Identification (For US residents/entity) _____
7. Website Address (if any) _____
8. My relationship to the customer is _____

Please link my customer account number _____ to my account username _____ (account number: _____)

Account Manager Signature:

Account Manager Name / Entity Name

Signature

Date

MBTF Internal Use Only

Office Approval: _____ **Date:** _____



Account Manager and Limited Trade Authorization Self Attestation

All Account Managers ("Manager") and Limited Trade Authorization Person ("LTA") who are not currently registered with CFTC and a member of NFA or granted registration exemption must complete, sign and return this MBTF Account Manager and Limited Trade Authorization Self Attestation Form to MBTF Compliance for review and approval. All Managers and LTAs are subject to a background check by the MBTF New Accounts.

Providing inaccurate or misleading information on this form and/or failing to pass a background check will disqualify a Manager or LTA from trading an account on behalf of a MBTF customer.

Please answer YES or NO for the following questions

1. _____ Are you a resident of the United States or its U.S. territories?
2. _____ Have you been providing investment advice and/or managed brokerage accounts of more than 15 persons during the past 12 months? How do you obtain customers in which you provide investment advice or managed brokerage accounts services? _____.
3. _____ Do you only manage brokerage accounts or provide investment advice to family, friends, and/or existing business associates? How many brokerage accounts and/or customers do you have in which investment advice or managed account services are provided? _____.
4. _____ Do you hold yourself out to the public as a Commodity Trading Advisor, trading account manager, hedge fund manager, investment manager, investment advisor or any similar title that would suggest the offering of investment advice or managed account services including trading? Do you have business cards or stationary that suggests the offering of investment advice or managed account services including trading?
5. _____ Do you maintain a business or home office for customer visitation to discuss any financial or investment related matters?
6. _____ Do you maintain a listing in the yellow pages, on a social network website or any public directory that suggest, or would suggest, the following services: financial planning, financial services, financial consultants, investment services, investment advisory and/or investment management?
7. _____ Do you receive remuneration from providing investment advice or trading a brokerage account on behalf of a customer in the form of an investment advisory fee, incentive fee, management fee, up-front fee, commission, mark-up/mark-down?
8. _____ Does your primary source of income come from providing investment advice or trading brokerage accounts on behalf of customers? My primary occupation is _____, which has the following responsibilities _____
My employer contact information is _____
9. _____ Do you solicit customers or provide any type of promotional material that (1) describes or explains any financial product (e.g., stocks, bonds, futures currencies, mutual funds); (2) directs a customer to a website, trading blog, social network site, seminar, webinar, lesson, book, magazine, newspaper, newsletters, brochure or cold call scripts that relates to trading or investments; or (3) would be considered a mail or fax solicitation?
10. _____ Do your customers provide you with referrals or tell their family or friends about your investment advice managed account or brokerage trading account services?

Manager/LTA Signature:

Printed Name

Signature

Date

MBTF Office **Approval** **Disapproval** **Date:** _____